



Time Magazine (1984)

Put the right kind of software into a computer, and it will do whatever you want it to. There may be limits on what you can do with the machines themselves, but there are no limits on what you can do with software.

Faster than a Busy Beaver



```
(define (c)
  (define (b n) ...))
(+ 1 (b (* N 5))))
```

Why Study Theory?

- Basic Computer Science Issues
 - What is a computation?
 - Are computers omnipotent?
 - What are the fundamental capabilities and limitations of computers?
- Pragmatic Reasons
 - Avoid intractable or impossible problems.
 - Apply efficient algorithms when possible.
 - Learn to tell the difference.

*A 2-MINUTE PROOF
OF THE
2nd-MOST IMPORTANT THEOREM
OF THE
2nd MILLENNIUM*

by **Doron Zeilberger**

Written: Oct. 4, 1998

Kurt Gödel (1931)

- The (First) Incompleteness Theorem
- The **most** important theorem of the second millennium
- No formal logic for arithmetic can prove everything that is true
- $x^n + y^n = z^n$ has a solution only if $n=2$

Proof

1. Imagine some program $\text{halt}(p,x)$ that answers "yes" when $p(x)$ halts and "no" otherwise.
2. Construct the program
 $\text{alan}(p) =$ if $\text{halt}(p,p)$ says "yes"
then "do nothing" forever
otherwise answer "yes"
3. Consider the question $\text{halt}(\text{alan},\text{alan})$.

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 - If it answers "yes, it halts", in fact $\text{alan}(\text{alan})$ loops forever

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 - If it answers "no, it loops", in fact $\text{alan}(\text{alan})$ halts with answer "yes"

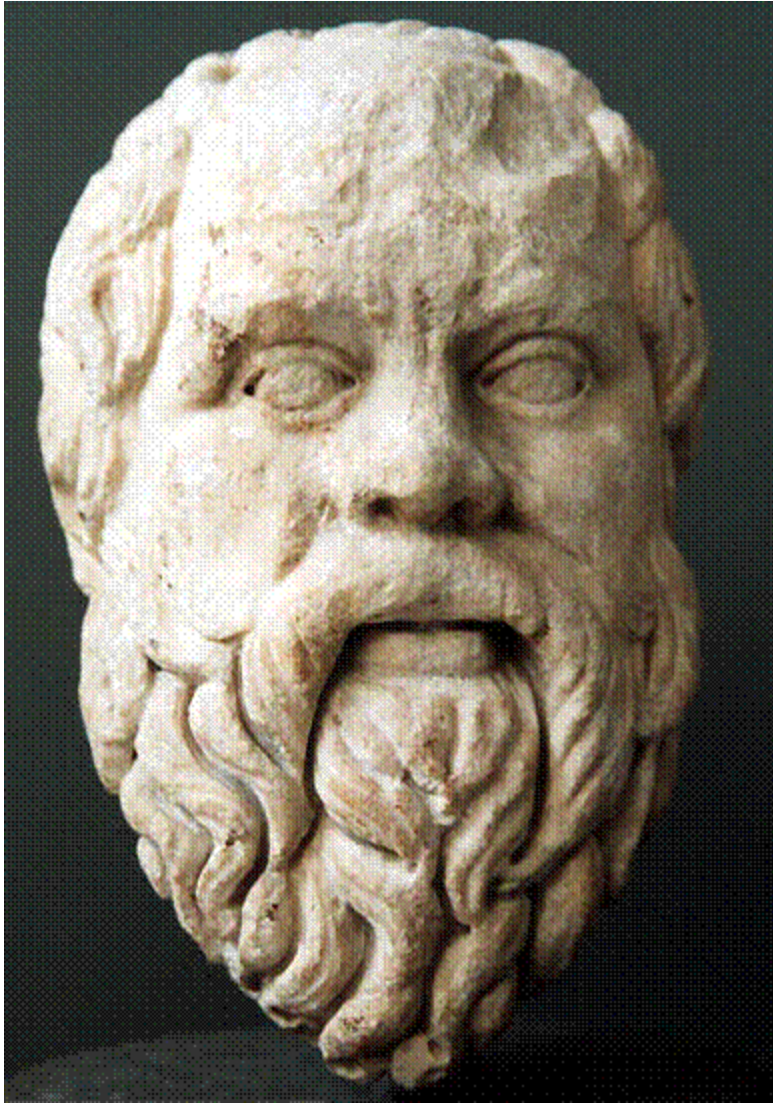
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3. Consider the question $\text{halt}(\text{alan},\text{alan})$.
 - If it never answers, then it's also no good.



Epimenides (-550)

All Cretans are liars...
One of their own poets has said so.



Socrates (-400)

One thing
that I know
is that
I know nothing

Eubulides (-350)

This statement is false!

Some Other Undecidable Problems

- Does a program run forever?
- Is a program correct?
- Are two programs equivalent?
- Is a program optimal (time wise – is it the fastest program solving a specific problem)?
- Does a polynomial equation with one or more variables and integer coefficients (e.g. $5x + 15y + 19xyz^2 = 12$) have an integer solution (Hilbert's 10th problem).
- Is a finitely-presented group trivial?
- Given a string, x , how compressible is it?

Critical CS Questions

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And What is a Computation?

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Critical CS Questions

What is a computer?

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- ~~real computers too complex for any theory~~
- need manageable mathematical abstraction
- idealized models: accurate in some ways, but not in all details

Finite Automata

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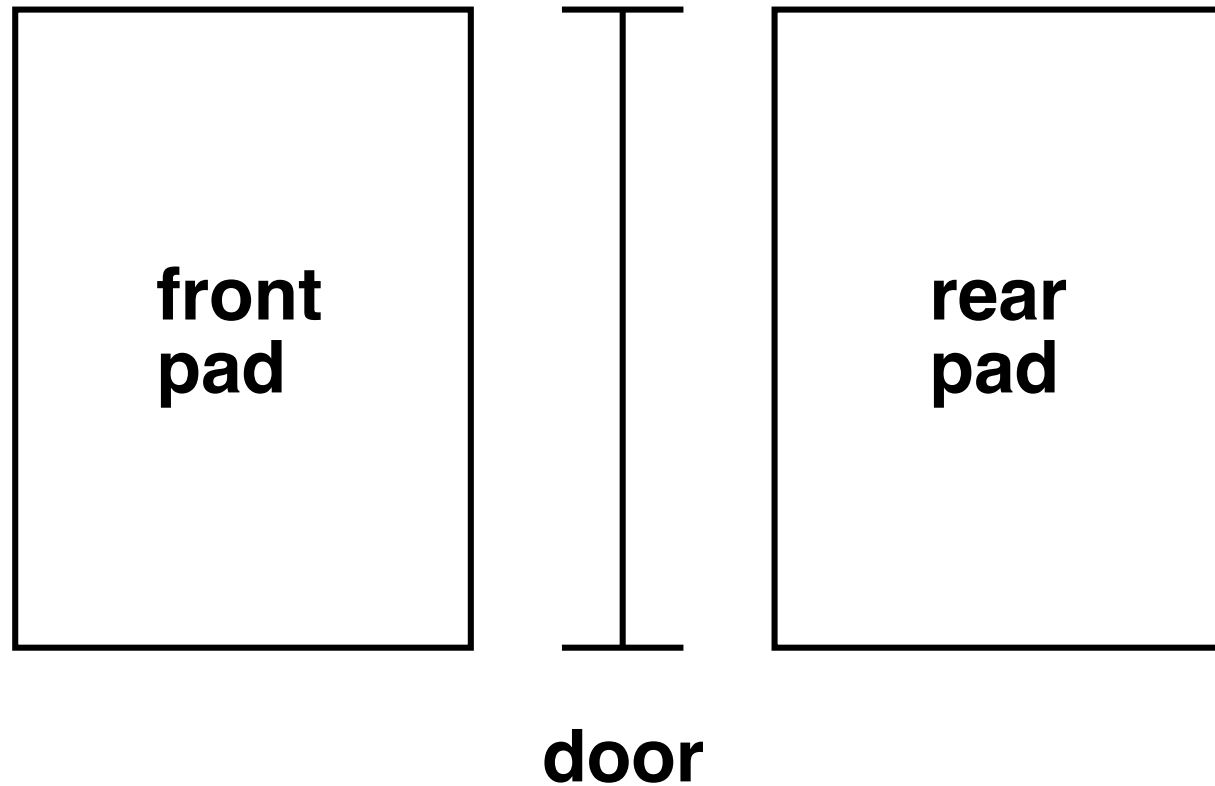
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Finite Automata

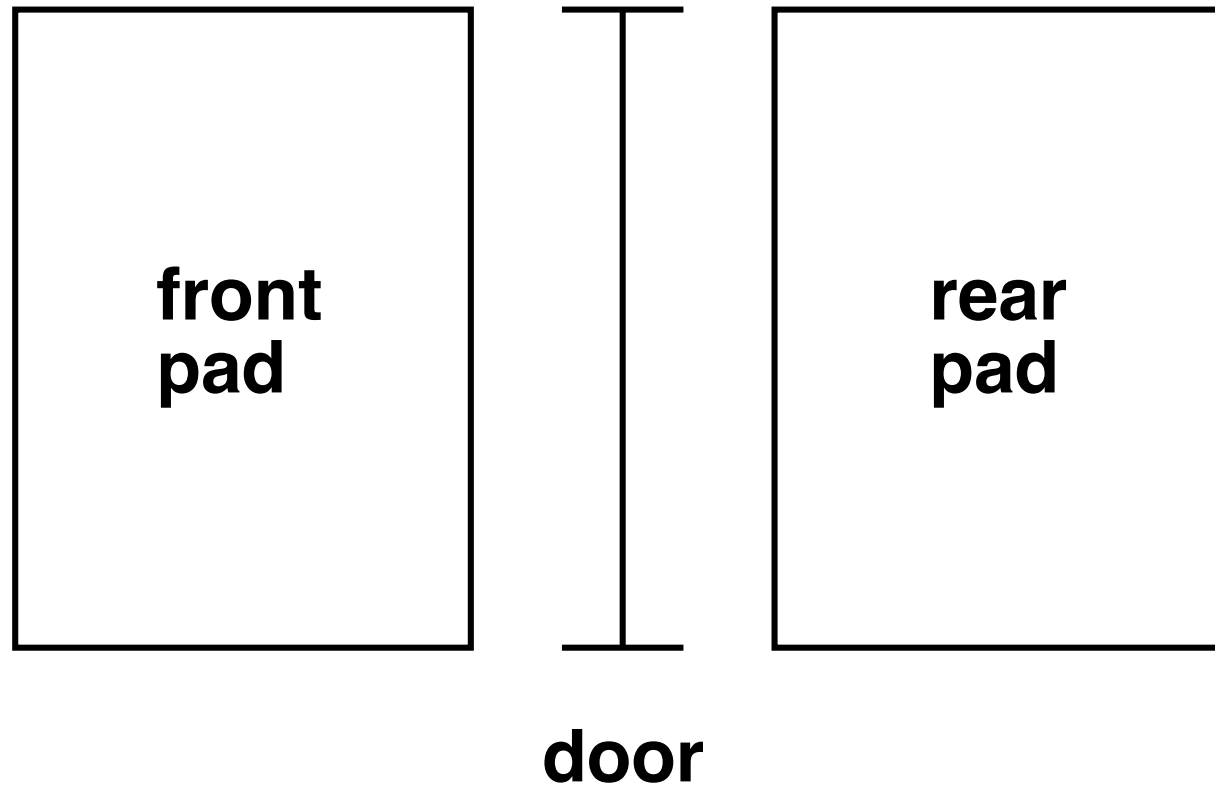
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- regular expressions

Example: A One-Way Automatic Door



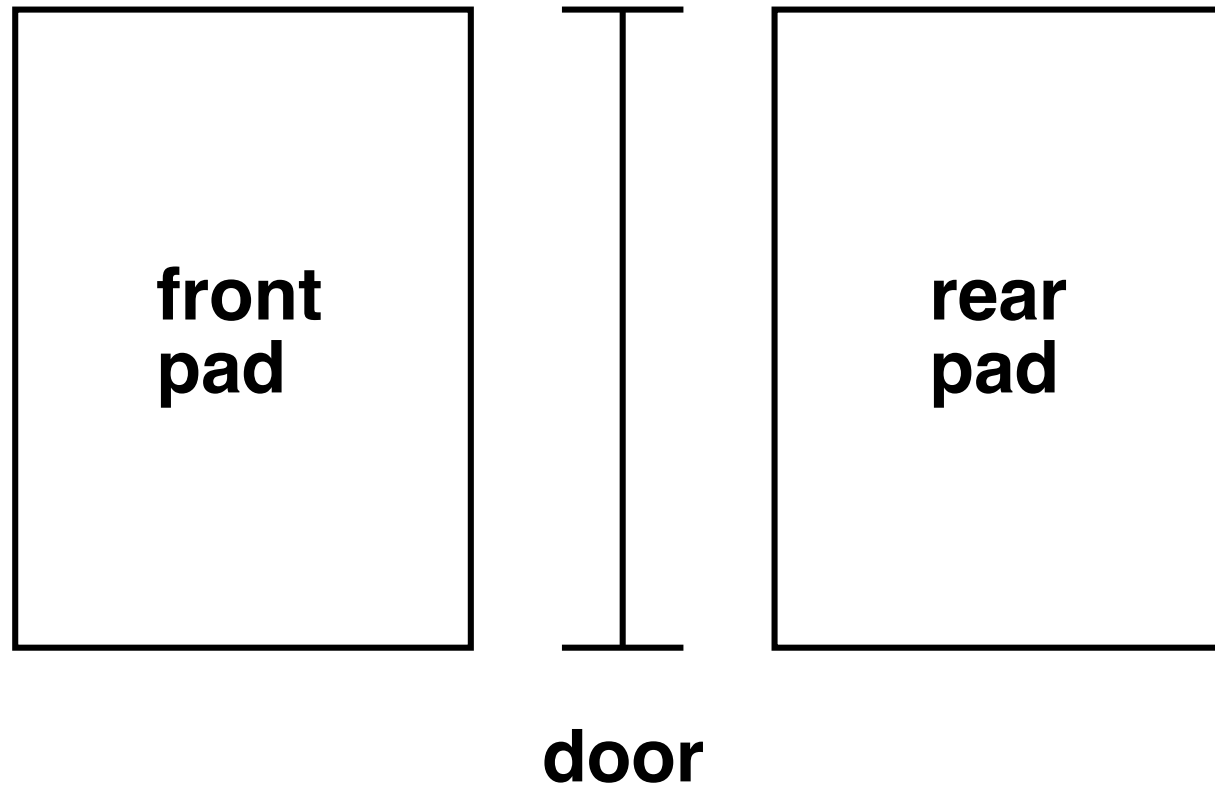
- open when person approaches

Example: A One-Way Automatic Door



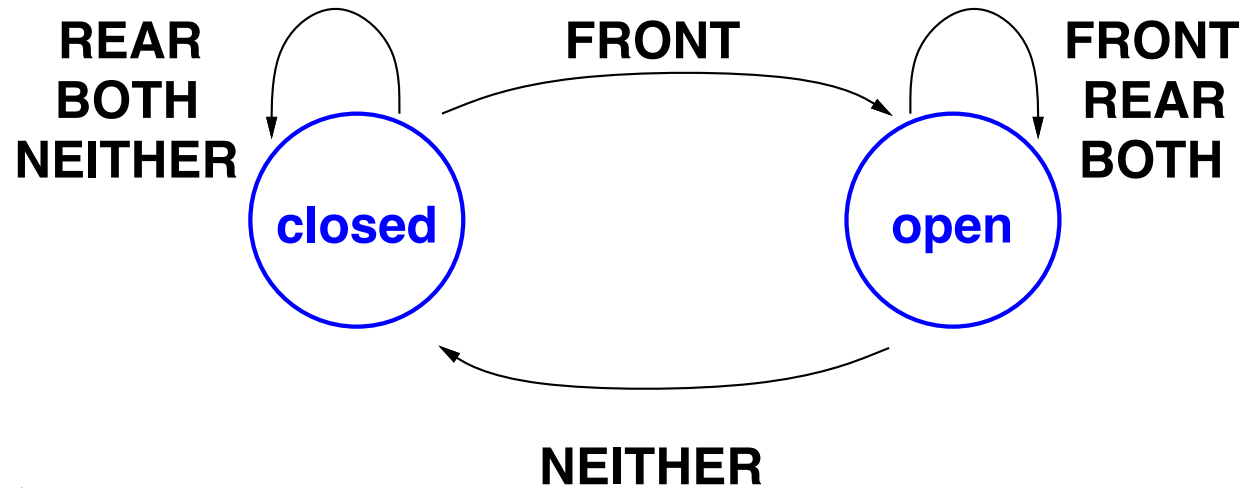
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- hold open until person clears

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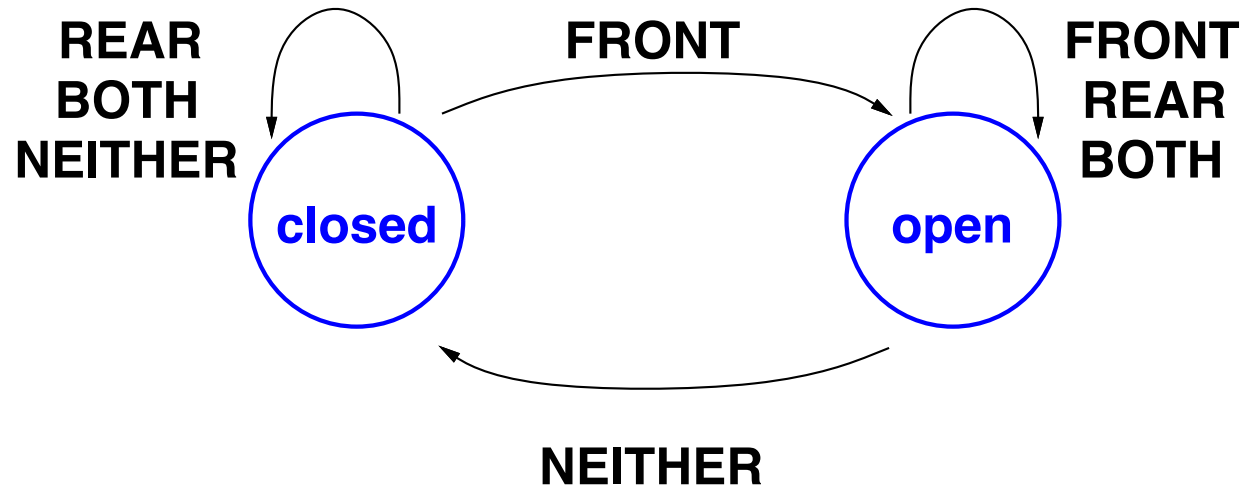
- open when person approaches
- hold open until person clears
- don't open when someone standing behind door

The Automatic Door as DFA



- States:
 - OPEN
 - CLOSED

The Automatic Door as DFA



- States:

- OPEN
- CLOSED

- Sensor:

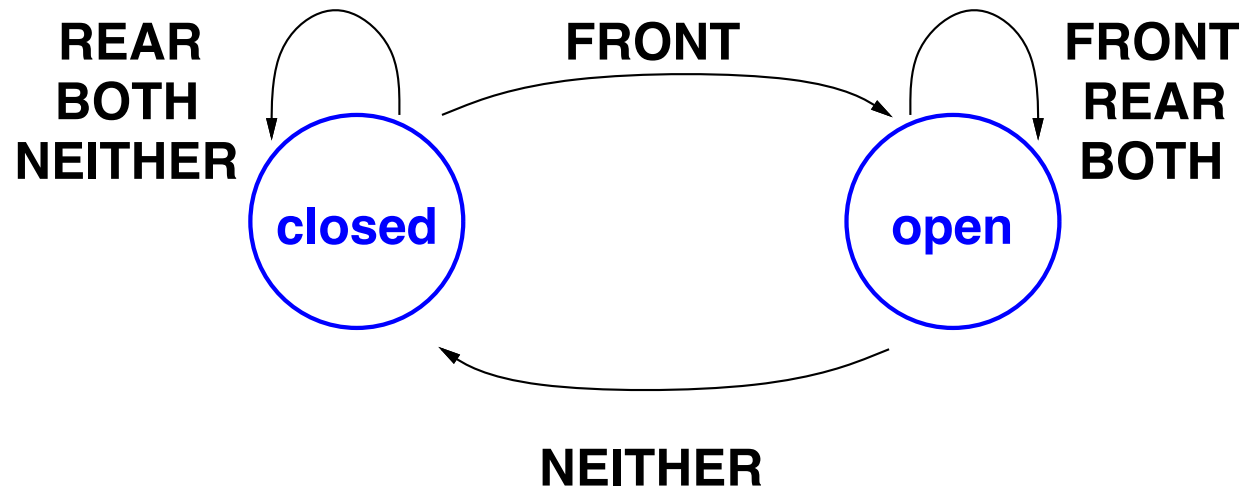
- **FRONT**: someone on front pad
- **REAR**: someone on rear pad
- **BOTH**: someone(s) on both pads
- **NEITHER** no one on either pad.

The Automatic Door as DFA

DFA is Deterministic Finite Automata

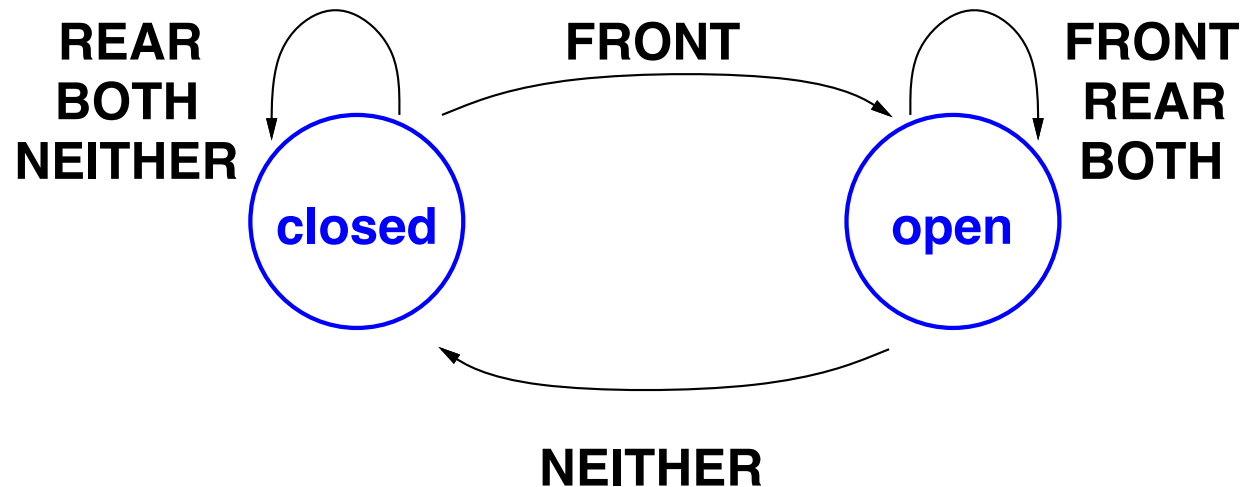
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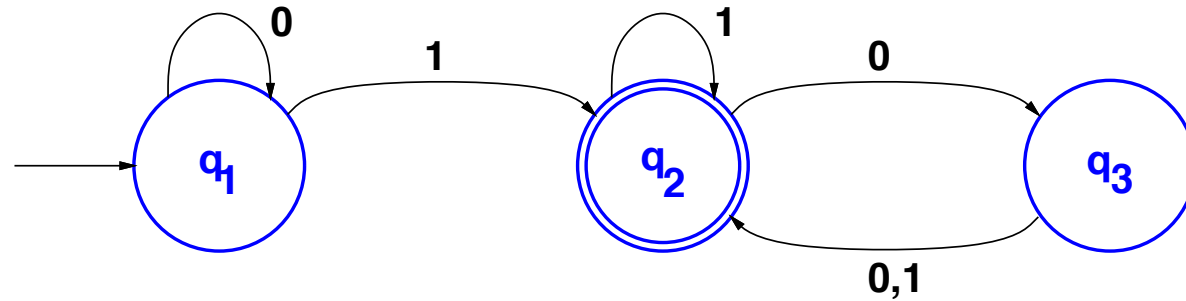
The Automatic Door as DFA

DFA is Deterministic Finite Automata



| | neither | front | rear | both |
|--------|---------|-------|--------|--------|
| closed | closed | open | closed | closed |
| open | closed | open | open | open |

DFA: Informal Definition

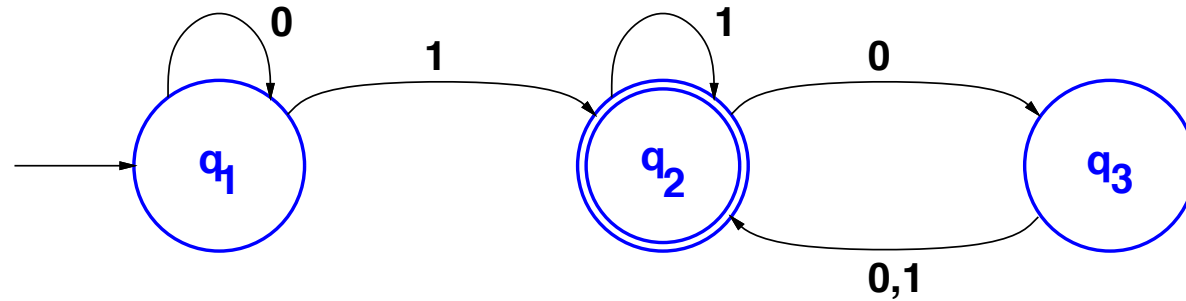


The machine

M_1 :

- **states:** q_1 , q_2 , and q_3 .

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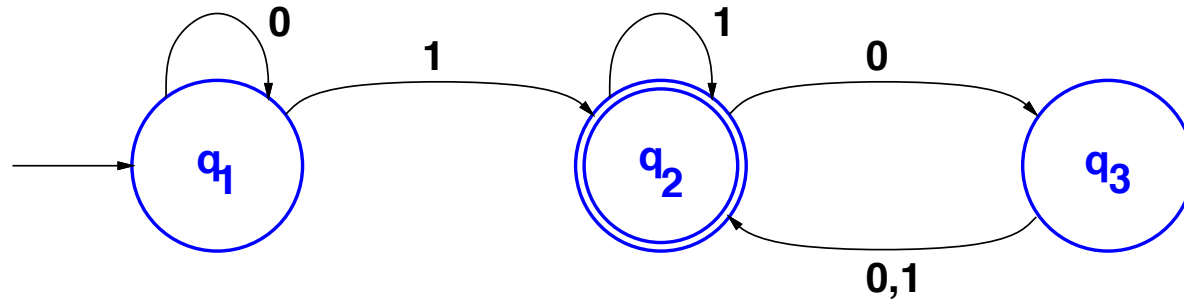


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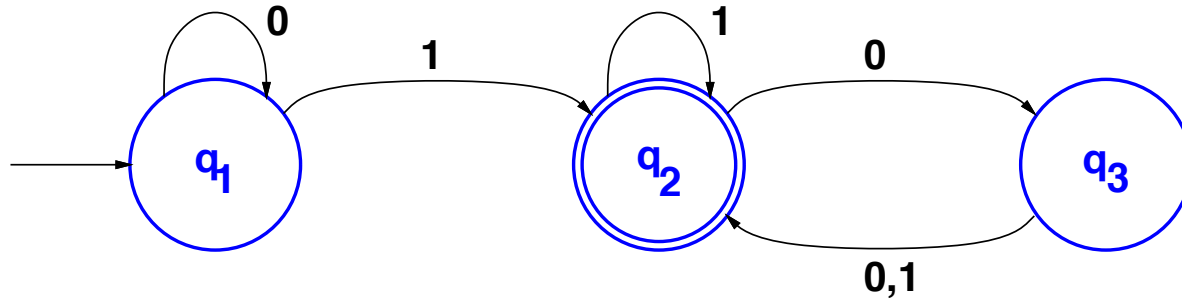
- **states**: q_1 , q_2 , and q_3 .
- **start state**: q_1 (arrow from “outside”).

DFA: Informal Definition (cont.)



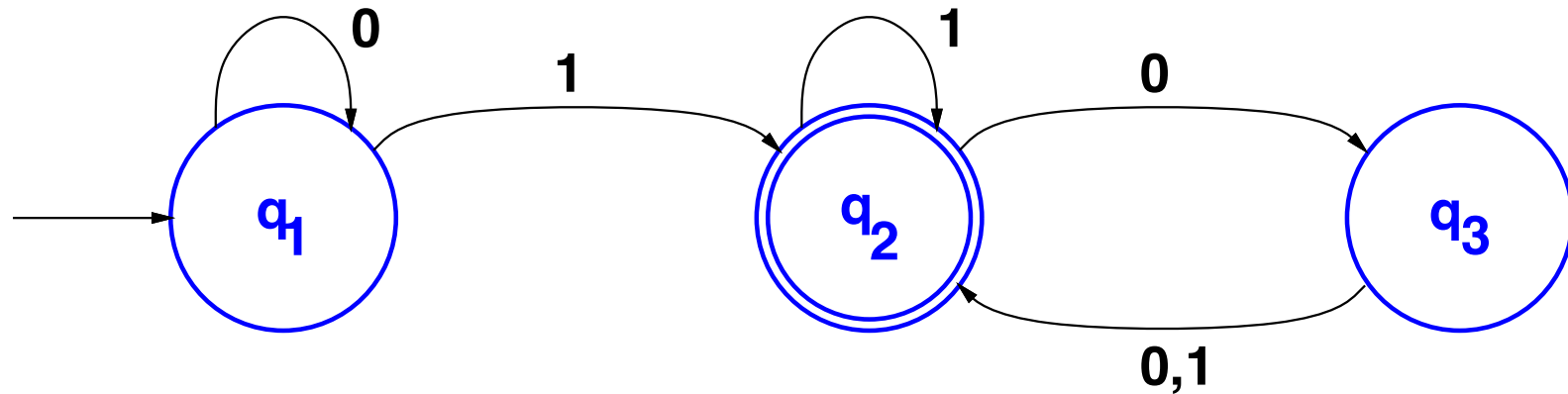
- On an input string
 - DFA begins in start state q_1
 - after reading each symbol, DFA makes **state transition** with matching label.

DFA: Informal Definition (cont.)



- On an input string
 - DFA begins in start state q_1
 - after reading each symbol, DFA makes **state transition** with matching label.
- After reading last symbol, DFA produces output:
 - **accept** if DFA is an accepting state.
 - **reject** otherwise.

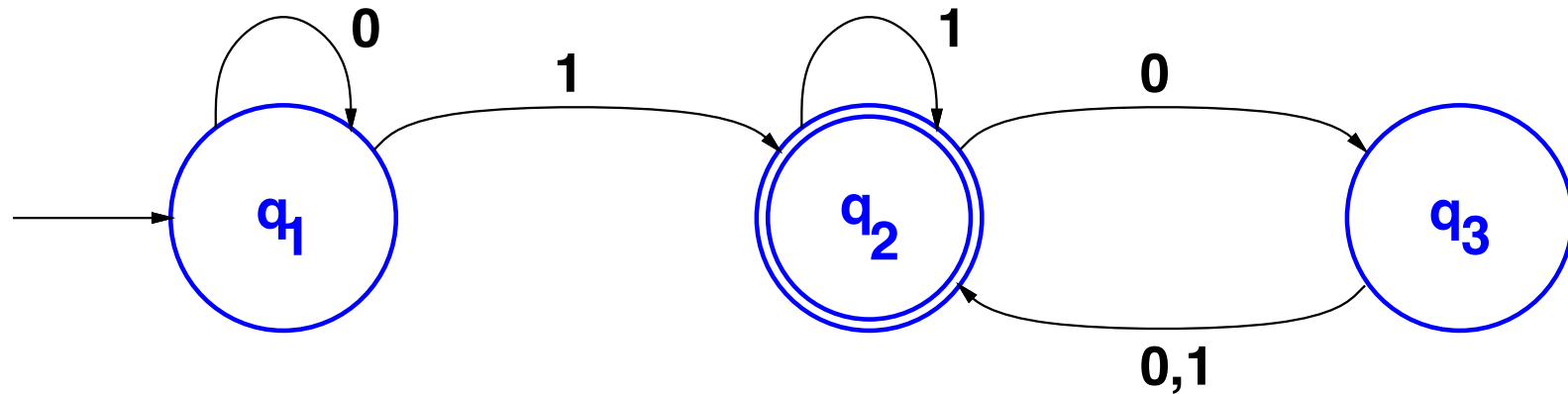
Informal Definition - Example



What happens on input strings

● 1101

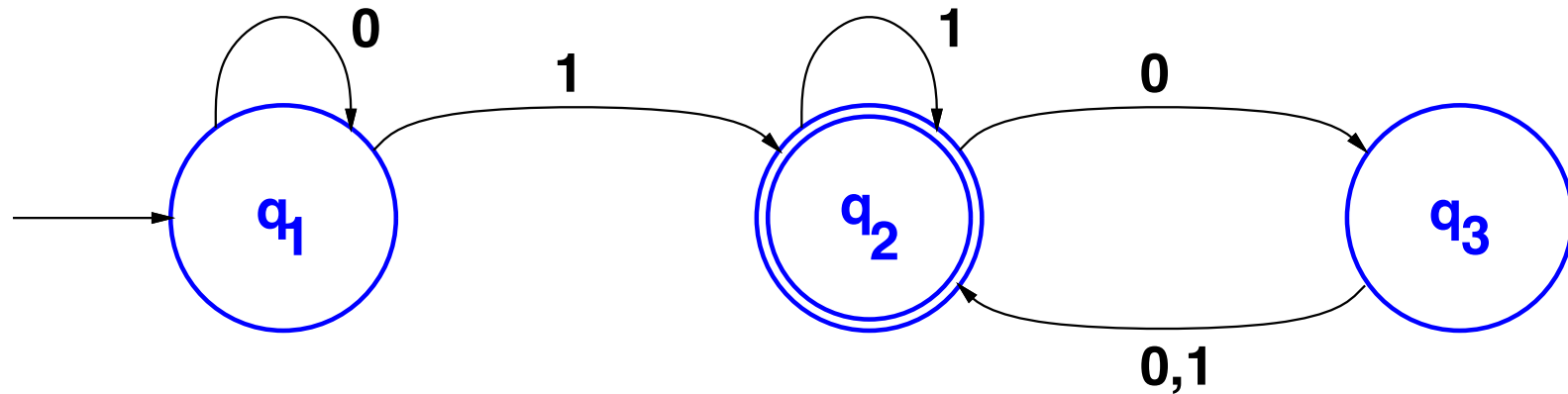
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What happens on input strings

- 1101
- 0010

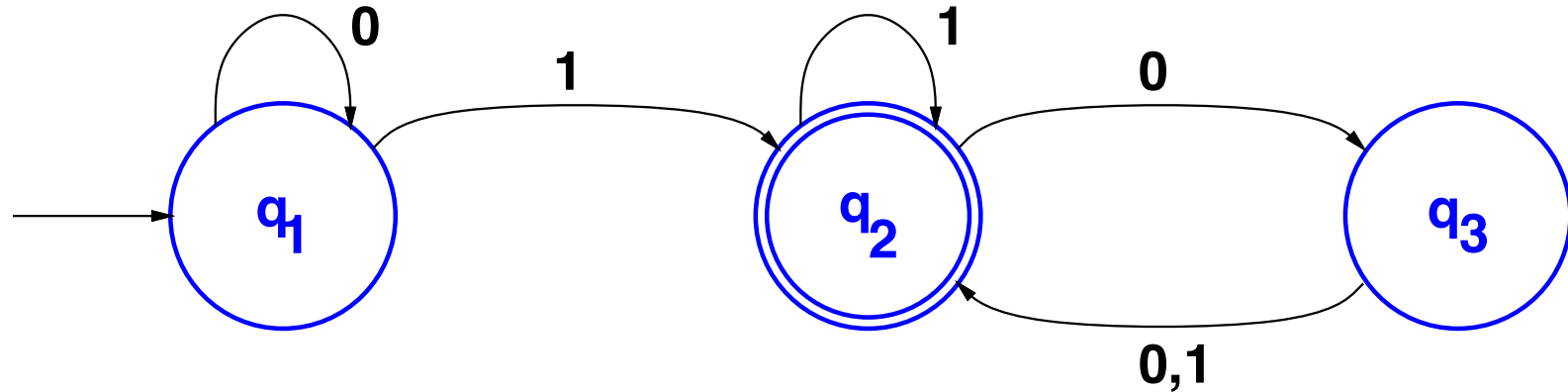
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What happens on input strings

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- 0010
- 01100

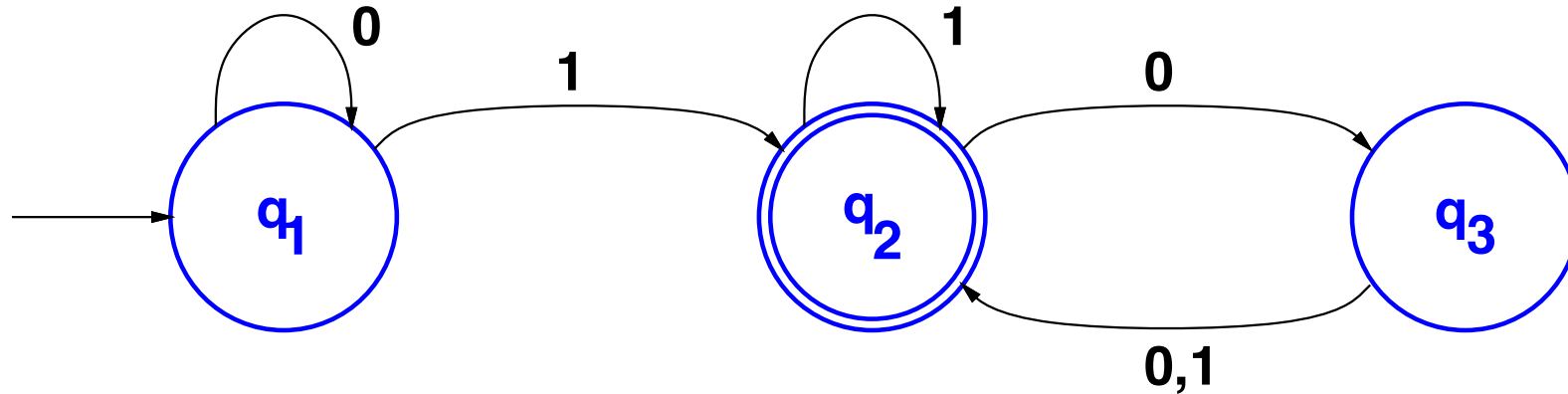
Informal Definition - Example



What happens on input strings

- 1101
- 0010
- 01100
- In general?!

Informal Definition



This DFA **accepts**

- all input strings that end with a 1
- all input strings that contain at least one 1, and end with an even number of 0's
- no other strings

Languages and Alphabets

An **alphabet** Σ is a finite set of letters.

- $\Sigma = \{a, b, c, \dots, z\}$ – the English alphabet.
- $\Sigma = \{\alpha, \beta, \gamma, \dots, \zeta\}$ – the Greek alphabet.
- $\Sigma = \{0, 1\}$ – the binary alphabet.
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For the binary alphabet, $\varepsilon, 1, 0, 000000000,$
 111111000 are all members of Σ^* .

Languages and Examples

A **language** over Σ is a subset $L \subseteq \Sigma^*$. For example

- Modern English.
- Ancient Greek.
- All prime numbers, written using digits.
- $A = \{w \mid w \text{ has at most seventeen 0's}\}$.
- $B = \{0^n 1^n \mid n \geq 0\}$.
- $C = \{w \mid w \text{ has an equal number of 0's and 1's}\}$.

Languages and DFA

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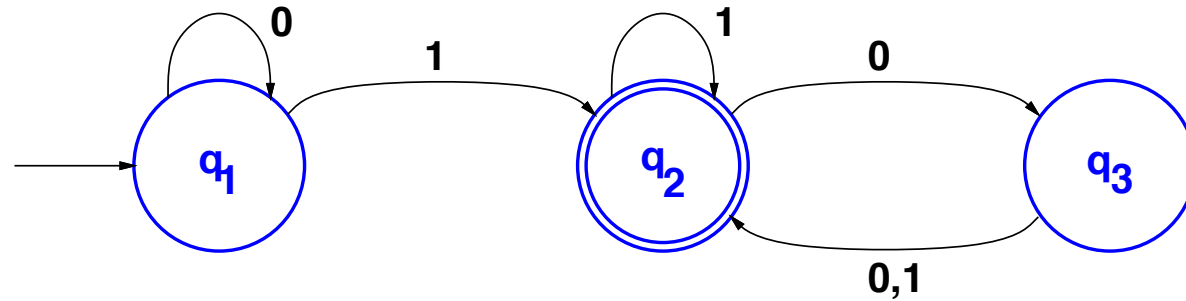
A language is called **regular** if some deterministic finite automaton accepts it.

Formal Definitions

A **deterministic finite automaton** (DFA) is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where

- Q is a finite set called the **states**,
- Σ is a finite set called the **alphabet**,
- $\delta : Q \times \Sigma \rightarrow Q$ is the **transition function**,
- $q_0 \in Q$ is the **start state**, and
- $F \subseteq Q$ is the set of **accept states**.

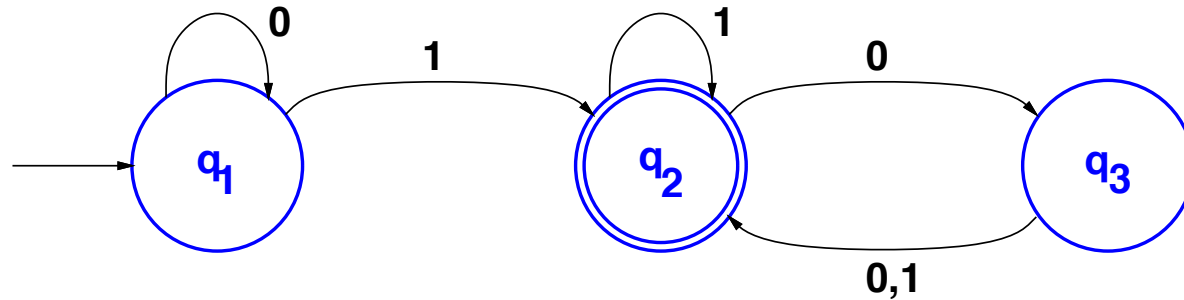
Back to M_1



$M_1 = (Q, \Sigma, \delta, q_1, F)$ where

- $Q = \{q_1, q_2, q_3\}, \Sigma = \{0, 1\},$

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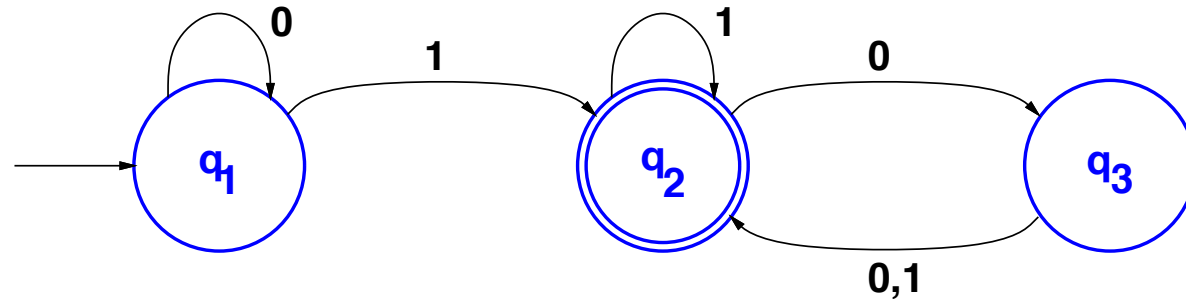
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| | 0 | 1 |
|-------|-------|-------|
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| q_2 | q_3 | q_2 |
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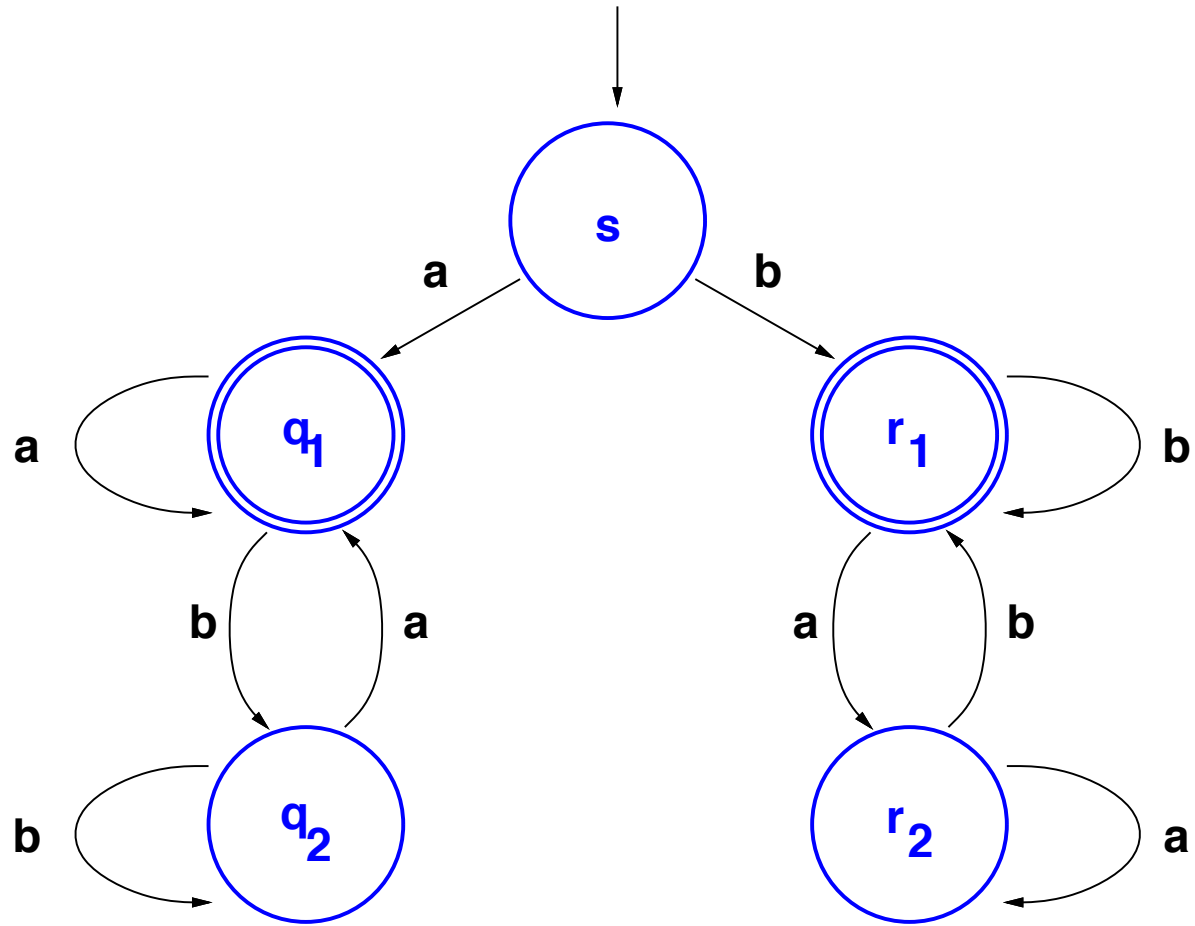
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- q_1 is the start state, and $F = \{q_2\}$.

Another Example



A Formal Model of Computation

- Let $M = (Q, \Sigma, \delta, q_0, F)$ be a DFA, and
- let $w = w_1w_2 \cdots w_n$ be a string over Σ .

We say that M **accepts** w if there is a **sequence of states** r_0, \dots, r_n ($r_i \in Q$) such that

- $r_0 = q_0$
- $\delta(r_i, w_{i+1}) = r_{i+1}, 0 \leq i < n$
- $r_n \in F$

The Regular Operations

Let A and B be languages.

The **union** operation:

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}$$

The **concatenation** operation:

$$A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$$

The **star** operation:

$$A^* = \{x_1 x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$$

The Regular Operations – Examples

Let $A = \{\text{good, bad}\}$ and $B = \{\text{boy, girl}\}$.

Union

$$A \cup B = \{\text{good, bad, boy, girl}\}$$

Concatenation

$$A \circ B = \{\text{goodboy, goodgirl, badboy, badgirl}\}$$

Star

$$A^* = \{\varepsilon, \text{good, bad, goodgood, goodbad, badbad, badgood, \dots}\}$$

Claim: Closure Under Union

If A_1 and A_2 are regular languages, so is $A_1 \cup A_2$.

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Fix: Simulate both machines **simultaneously**.

Closure Under Union: Correct Proof

- Suppose $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ accepts L_1 ,
- and $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ accepts L_2 .

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